

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/618566

Filing Date 7/10/2003

First Named Inventor David Luenberger

Art Unit 3693

Examiner Name Wong, Eric Tak Wai

Attorney Docket Number LUB-101/US

ENCLOSURES (Check all that apply)						
☐ Fee Transmittal Form		☐ Drawings		☐ After Allow	☐ After Allowance Comm. to TC	
☐ Fee Attached					omm. to Board of nd Interferences	
		☐ Petition			omm. to TC tice, Brief, Reply Brief)	
☐ After Final		Petition to Convert Provisional Applica		☐ Proprietary Information		
☐ Affidavits/Declaration(s)		Power(s) of Attorne Change of Corresp.		☐ Statement(s) under 3.73(b)		
☐ Extension of Time Request		☐ Terminal Disclaime		☐ Declaratio	n	
☐ Express Abandonment Request		Request for Refund		☐ Assignment		
☐ Information Disclosure Statement		CD, Number of CD(s)		☐ Recordation	on Cover Sheet	
☐ Certified Copy of Priority Doc(s)		☐ Landscape Table on CD		Other (Specified below)		
Reply to Missing Parts/ Incomplete Application		Other:				
Reply to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
FIRM NAME LUMEN PATENT FIRM						
SIGNATURE / Thomas J. McFarlane / Reg.No. 39,299						
PRINTED NAME Thomas J. McFai		rlane				
DATE 1/14/09		REGIST		ATION NUMBER 39,299		

CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being fascimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below: SIGNATURE / Patricia Shepherd / PRINTED NAME Patricia Shepherd DATE 1/14/09

Appl. No.: 10/618566 Conf. No.: 3757

First named inventor: Luenberger, David

Filing date:

7/10/2003

Title:

Methods for Assigning a Price to an Asset that is a Derivative of a

Non-Marketed Variable

TC/A.U.:

3693

Examiner:

Wong, Eric Tak Wai

Docket No.:

LUB-101/US

Customer No.:

30869

Commissioner for Patents

PO Box 1450

Alexandria VA 22313-1450

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Sir:

In response to the Office Notice of 12/30/2008 indicating that each claim in the amendment filed on 11/17/08 had not been provided with a proper status identifier, please accept the following corrected claim amendment section of the previously filed amendment. This corrected claim amendment contains a complete listing of the claims with the proper status identifiers for each claim, as required.

Respectfully submitted,

/ Thomas J. McFarlane /

Thomas J. McFarlane, Reg. No 39,299

LUMEN PATENT FIRM 2345 Yale Street, Second Floor Palo Alto, CA 94306 (650) 424-0100